

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : GUNTHER STEINLE ET AL.
Filed : CONCURRENTLY HEREWITH
Title : LASER DIODE WITH VERTICAL RESONATOR AND METHOD
FOR FABRICATING THE DIODE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

U.S. Patent No. 5,262,360 (Holonyak, Jr, et al.), dated November 16, 1993;

U.S. Patent No. 5,557,626 (Grodzinski et al.), dated September 17, 1996;

European Patent Application EP 0 939 471 A1 (Uenohara et al.), dated September 1, 1999;

Patent Abstracts of Japan 06029611 A (Mitsunori), dated February 4, 1994;

Patent Abstracts of Japan 10200210 A (Hideo), dated July 31, 1998;

International Search Report, dated February 13, 2003.

Respectfully submitted,


For Applicants

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Date: September 3, 2003

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FORM PTO-1449 (SUBSTITUTE) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))				Attorney Docket No.: M&N-IT-426 Appl. No.: <hr/> Applicant: GUNTHER STEINLE ET AL. <hr/> Filing Date: September 3, 2003 Group Art Unit:			
EXAMINER INITIALS		PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
	A	5,262,360	11/16/93	Holonyak, Jr. et al.			
	B	5,557,626	9/17/96	Grodzinski et al.			
	C						
	D						
	E						
	F						
	G						
	H						
	I						
FOREIGN PATENT DOCUMENT							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES NO
	J	0 939 471 A1 ✓	9/1/99	Europe			
	K	06029611 A ✓	2/4/94	Japan			
	L	10200210 A ✓	7/31/98	Japan			
	M						
	N						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
EXAMINER				DATE CONSIDERED			